Serial No:

Docket No. 128518-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	David Charles Pender et al.	Grou	p Art	Unit:

Serial No.: Examiner:

Filed:

For SYSTEM AND SUPPORT ROD ASSEMBLY FOR SINTERING FIBER OPTIC SLEEVE TUBES

"EXPRESS MAIL" MAILING
LABEL NUMBER
EV 300フレケフレフ

INFORMATION DISCLOSURE STATEMENT

X 1	Pursuant to 37CFR 1.97(b)					
	[within 3 months of national, non-CPA filling or prior to 1st Office Action] no charge					
\Box						

[before Final Office Action, Allowance, or other action closing prosecution] *no charge*

Fee Payment Pursuant to 37 CFR 1.97(c)(2)

[before Final Office Action, Allowance, or other action closing prosecution] \$180.00

☐ 4 Certification & Fee Payment Pursuant to 37 CFR 1.97(d)

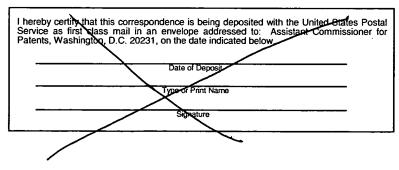
[On or before issue fee payment] \$180.00

ATTN: BOX PATENT APPLICATION Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98:

5 A list of documents on form PTO-1449 or Substitute together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.



page 1 of 2

This paper is submit	ted in accordance with:	
	37 CFR 1.97(b): [within 3 r Action]	months of national, non-CPA filing or prior to 1st Office
	B7 CFR 1.97(c): [before File closing prosecution, whiche	nal Office Action, Allowance, or other action ever is earlier]; and
] 8 The required Certifica	ation made in item 11 below;
		cified in 37 CFR 1.17(p) for submission of osure Statement is authorized in item 15 below.
□ 10 3	37 CFR 1.97(d): [on or bef	ore issue fee payment]; and
	a) The required Certifica	ation is stated in item 11 below; and
	•	cified in 37 CFR 1.17(p) for submission of osure Statement is authorized in item 14 below.
11 (Certification	
	Each item of information	ation contained in this Statement was first cited in from a foreign patent office in a counterpart cation not more than three months prior to the nt; or
	from a foreign paten to the knowledge of reasonable inquiry, Statement was kno	this Statement was cited in a communication toffice in a counterpart foreign application and, the person signing this document after making no item of information contained in this own to any individual designated in 37 CFR hree (3) months prior to the filing date of this
⊠ 14 ∣	Payment of all applicable fe	ees:
	•	oplicable fees associated with the submittal of closure Statement to Deposit Account No.
An original and two ((2) copies of this document	are enclosed.
Nover	mber 11, 2003	Respectfully submitted,
	Date	Attorney - Robert P. Santandrea
General Electric Comp CRD Patent Docket Rr P.O. Box 8, Bldg. K-1 Schenectady, New Yor Customer Numb r: 00	m 4Å59 rk 12301	Registration No. 45,072 Phone: (518) 387-6304 or (518) 387-7122

FORM PTO-1449 (REV. 7-80)		US. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 128518-1		SERIAL NO.		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <u>LIST OF ITEMS</u>				Applicant: David Charles Pender et al.			
					Filing Da	te	Group
			U.S	S. PATENT DOCUMENTS			
*EXAMINER			T	DATE NAME		C	FILING DATE
INITIAL		UMENT NUMBER	DATE	NAME Dominials at al	CLASS	SUBCLASS	IF APPROPRIATE
	A1 A2	4,204,850	5/27/80 11/11/80	Dominick et al. Dominick et al.			1
	A2 A3	4,233,052 5,769,921	6/23/98	6/23/98	<u> </u>		
	A4	3,709,921	0/23/98	0/23/98		· · · · · ·	-
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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
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	B2						
	B3						

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